

Iridian UCITS Fund p.l.c.

Iridian UCITS Fund p.l.c. (the "Company")

Non-Irish resident Application Form

Please ensure you read the prospectus for the Company and the most recent relevant supplement as may be amended from time to time (the "**Prospectus**") before completing this application form. Unless the context otherwise requires, all capitalised terms shall have the same meanings as in the Prospectus.

All applicants should complete ALL sections in full and sign the necessary declarations and representations in Section 6. Please contact the Administrator if you are resident or ordinarily resident in Ireland.

On completion using BLOCK CAPITALS, please fax or email the completed application form to the Company c/o the Administrator, together with supporting documentation required in connection with anti-money laundering and terrorist financing procedures detailed in Section 5.

Iridian UCITS Fund p.l.c.

c/o SEI Investments – Global Fund Services Limited
Styne House
Upper Hatch Street
Dublin 2
Ireland

Fax: +353-1-477-4768

Telephone: +353-1-638-2440

E-mail: tadublin@seic.com

Telephone calls may be recorded.

No redemption or conversion or transfer requests will be processed prior to receipt of this form by electronic means or by fax and supporting documentation.

1 Registration Details

Please provide Registered Address by completing section (a) for individual investors/ individual trustees; (b) for corporate investors or corporate trustees; or (c) for intermediary/agent/nominee. If Mailing address is different from Registered Address then also complete section (d)

PO Box or C/O addresses will not be accepted.

(a) Individual investors/ individual trustees

| | | | | | |
|------------------------|------------------------------|-------------------------------|-------------------------------|-----------------------------|--------------------------------|
| Title: | Mr. <input type="checkbox"/> | Mrs. <input type="checkbox"/> | Miss <input type="checkbox"/> | Ms <input type="checkbox"/> | Other <input type="checkbox"/> |
| Surname: | | | | | |
| Forename(s) in full: | | | | | |
| House number/name: | | | | | |
| Street: | | | | | |
| Town/City: | | | | | |
| County/State/Province: | | | | | |

Iridian UCITS Fund p.l.c.

| | |
|--|--|
| Post/Zip Code: | |
| Country: | |
| Email Address: | |
| Telephone No: | |
| Location of Telephone No (insert country name) | |
| Fax No: | |
| Nationality: | |
| Country of Birth | |
| Date of Birth: | |
| For trusts/estates please indicate name here: | |

Investments may be registered in the names of up to four joint holders. If there are more than two joint holders please provide the additional details on a separate sheet. Please note that all joint holders must sign the application form. Correspondence will be sent to the first named holder only.

Joint Applicant

| | | | | | |
|--|------------------------------|-------------------------------|-------------------------------|-----------------------------|--------------------------------|
| Title: | Mr. <input type="checkbox"/> | Mrs. <input type="checkbox"/> | Miss <input type="checkbox"/> | Ms <input type="checkbox"/> | Other <input type="checkbox"/> |
| Surname: | | | | | |
| Forename(s) in full: | | | | | |
| House number/name: | | | | | |
| Street: | | | | | |
| Town/City; | | | | | |
| County/State/Province: | | | | | |
| Post/Zip Code: | | | | | |
| Country: | | | | | |
| Email Address: | | | | | |
| Telephone No: | | | | | |
| Location of Telephone No (insert country name) | | | | | |

Iridian UCITS Fund p.l.c.

| | |
|---|--|
| Fax No: | |
| Nationality: | |
| Country of Birth | |
| Date of Birth: | |
| For trusts/estates please indicate name here: | |

(b) Corporate shareholders or corporate trustee

| | |
|---|--|
| Place of Incorporation: | |
| Full Title of body corporate/corporate trustee: | |
| Account designation(s) (if applicable): | |
| House number/name: | |
| Street: | |
| Town/City | |
| County/State/Province | |
| Post/Zip Code: | |
| Country: | |
| Email Address: | |
| Telephone No: | |
| Location of Telephone No (insert country name) | |
| Fax No: | |
| For trusts/estates please indicate name here: | |
| Mailing contact name: | |
| Mailing address: | |
| Postcode: | |

Iridian UCITS Fund p.l.c.

| | |
|--|--|
| Email address: | |
| Telephone No: | |
| Location of Telephone No (insert country name) | |
| Fax No: | |

(c) Intermediary/Agent/Nominee

If the shareholding is registered in a nominee name, please print clearly the name of the underlying client. If this section is not complete then any negotiated terms may not be applied:

| | |
|--|--|
| Client Name: | |
| Correspondence Address if different to above): | |
| House number/name: | |
| Street: | |
| Town/City | |
| County/State/Province | |
| Post/Zip Code: | |
| Country: | |
| Telephone No: | |
| Location of Telephone No (insert country name) | |
| Fax No: | |
| E-mail Address: | |
| Domicile: | |

(d) Mailing Address (if different from above Registered Address):

| | | | | | |
|--------------------|------------------------------|-------------------------------|-------------------------------|-----------------------------|--------------------------------|
| Title: | Mr. <input type="checkbox"/> | Mrs. <input type="checkbox"/> | Miss <input type="checkbox"/> | Ms <input type="checkbox"/> | Other <input type="checkbox"/> |
| Surname: | | | | | |
| Name: | | | | | |
| House number/name: | | | | | |
| Street: | | | | | |

Iridian UCITS Fund p.l.c.

| | |
|--|--|
| Town/City: | |
| County/State/Province: | |
| Post/Zip Code: | |
| Country: | |
| Email Address: | |
| Telephone No: | |
| Location of Telephone No (insert country name) | |
| Fax No: | |
| For trusts/estates please indicate name here: | |

2 Investment Details

I/We hereby apply to invest in the Company, as indicated in the table below:

| Fund | Share Class | No. of Shares | Amount (in words) | Currency** |
|---------------------------------|----------------------------------|----------------------|--------------------------|-------------------|
| Iridian U.S. Equity Fund | Class I-USD-Accumulating | | | |
| Iridian U.S. Equity Fund | Class I-USD-Distributing | | | |
| Iridian U.S. Equity Fund | Class I-GBP-Accumulating | | | |
| Iridian U.S. Equity Fund | Class I-GBP-Distributing | | | |
| Iridian U.S. Equity Fund | Class IP-EUR-Distributing Shares | | | |
| Iridian U.S. Equity Fund | Class IP-GBP-Distributing Shares | | | |
| Iridian U.S. Equity Fund | Class IP-USD-Accumulating* | | | |
| Iridian U.S. Equity Fund | Class R-USD-Accumulating | | | |

Iridian UCITS Fund p.l.c.

| | | | | | |
|----------------------------|-------------|--------------------------|--|--|--|
| Iridian Equity Fund | U.S. | Class R-USD-Distributing | | | |
|----------------------------|-------------|--------------------------|--|--|--|

* Class IP-USD-Accumulating Shares are only available for subscription by such investors as may be approved by the Investment Manager in its absolute discretion.

** Subscription monies for the Shares of each Class should be remitted in the designated currency of the relevant Class.

Classes I-USD-Accumulating, I-GBP-Accumulating IP-USD-Accumulating and R-USD-Accumulating are accumulating Classes and, therefore, it is not currently intended to distribute dividends to the Shareholders. The income and earnings and gains of each Class will be accumulated and reinvested on behalf of Shareholders. Classes I-USD-Distributing, I-GBP-Distributing, IP-EUR-Distributing, IP-GBP-Distributing and R-USD-Distributing are distributing Classes. Dividends (if any) will be declared on an annual basis as at 31 December in each year and will be paid within four months.

3 Subscription Details

All payments must be made by telegraphic transfer to the relevant account(s) detailed below for settlement 3 Business Days after the relevant Subscription Day. Settlement must be made in the designated currency of the Class in which you are investing unless otherwise agreed in advance with the Administrator.

| | |
|---|---|
| For Subscriptions in US Dollar Share Classes | |
| Bank: | The Northern Trust Intl Banking Corporation, NJ |
| SWIFT: | CNORUS33 |
| Fedwire ABA: | 026001122 |
| Account Name: | Iridian UCITS Fund – USD |
| Account Number: | 132795-20010 |
| Reference****: | |

**** Please quote Investor's Name and Class Name

| | |
|--|---|
| For Subscriptions in GBP Sterling Share Classes | |
| Bank: | Barclays Bank PLC, London |
| SWIFT: | BARCGB22 |
| IBAN: | GB64 BARC 2032 5353 5294 95 |
| For Account: | The Northern Trust Intl Banking Corporation, NJ |
| Beneficiary: | Iridian UCITS Fund – GBP |
| Beneficiary #: | 605238-22213 |

Iridian UCITS Fund p.l.c.

| | |
|----------------|--|
| Reference****: | |
|----------------|--|

**** Please quote Investor's Name and Class Name

| | |
|---|---|
| For Subscriptions in EUR Share Classes | |
| Bank: | Barclays Bank PLC, London |
| SWIFT: | BARCGB22 |
| IBAN: | GB43 BARC 2032 5356 0804 88 |
| For Account: | The Northern Trust Intl Banking Corporation, NJ |
| Beneficiary: | Iridian UCITS Fund – EUR |
| Beneficiary #: | 605246-22213 |
| Reference****: | |

**** Please quote Investor's Name and Class Name

4 Investor Bank Account Details

Please state the details of the account to which redemption proceeds should be paid. Payments will only be made to a bank account held in the name of the registered investor. No third party payments will be made.

Amendments to investors' payment instructions will only be effected upon receipt of an instruction from an authorised signatory, please refer to appendix 2. In the case of joint applicants, instructions will only be made upon receipt of instruction signed by all applicants.

| | |
|-------------------------|--|
| Name of Bank: | |
| Address of Bank: | |
| Country: | |
| Bank SWIFT/Sort code: | |
| Name of Account Holder: | |
| Account Number: | |
| Applicant's signature: | |

5 Anti-Money Laundering and Countering Terrorist Financing Procedures

Under Irish legislation covering anti-money laundering and countering terrorist financing, the Company and the Administrator are required to obtain documentation to verify the identity and permanent address of all new clients. Failure to supply all of the necessary documentation will result in redemption payments being withheld.

The Administrator in its absolute discretion reserves the right to request from the applicant such other or additional documentation when it deems it appropriate to do so to enable the Administrator determine the applicant's compliance with applicable regulatory requirements or the applicant's anti-money laundering verification status on a risk sensitive basis and the applicant shall provide to the Administrator from time to time with such information as may reasonably be requested.

Each person acquiring Shares in the Company must satisfy the AML regulations at the time of subscription and at all times thereafter until such person cease to be a Shareholder. Accordingly, the applicant agrees to notify the Administrator promptly if there is any change with respect to any of the below information along with the declarations or representations (set out in Section 6) and to provide the Administrator with such further information as the Administrator may reasonably require.

Details of all Directors/Trustees/Partners/Committee Members/Controllers as appropriate

| Name | Residential Address | Date of Birth | Occupation |
|------|---------------------|---------------|------------|
| | | | |
| | | | |
| | | | |
| | | | |

Ultimate Beneficial Owner Details¹

(Natural person(s) who will ultimately benefits from the shares regardless of how they are registered)

| Name | Residential Address | Date of Birth | Occupation | PEP ² Y/N |
|------|---------------------|---------------|------------|-------------------------|
| | | | | |
| | | | | |
| | | | | |
| | | | | |
| | | | | |

¹**Ultimate Beneficial Owner** – Where no beneficial ownership information is provided, it will be assumed no beneficiary holds 25% or more of the assets of the entity

²**Politically Exposed Person** - Is the ultimate beneficial owner a senior government, political or military official, or an immediate family member or close associate of such a person? If yes,

please provide an original signed letter confirming the individual's *Source of Wealth* (see below) and attach to the subscription document.

(Description of the economic activity which has generated the net worth)

Please note that the Administrator can only accept Application Forms from an entity that has legal capacity to enter into contracts on its own right and may require the constitutive document to legitimate legal status.

6 Declarations, Representations and Signatures

By signing below:

- 1 I/We confirm that I/we am/are 18 years of age or over and have the full right, power and authority to make the investment pursuant to this application form whether this investment is my/our own name or is made on behalf of another person or institution.
- 2 We declare that the KIID(s) have been provided to us in good time prior to making the application for Shares and that we have read and reviewed and understood the nature and the risks of the investments product that is being offered to us. We hereby acknowledge and agree that the updated KIID(s) for each Share Class is available at the Investment Manager's website at www.iridian.com and that we will read and review the most up-to-date version of the relevant KIID(s) prior to making any subsequent application for Shares in the relevant Fund.
- 3 I/We hereby acknowledge that I/we have been offered the current Prospectus, KIID(s) and Memorandum and Articles of Association of the Company as may be amended from time to time and where applicable the most recent annual report or half-yearly report of the Company. Furthermore I/we hereby acknowledge that this application is based solely on the information contained in such documentation and that I/we am/are bound by the terms of the Prospectus and Memorandum and Articles of Association of the Company. We are aware that copies of the Prospectus, KIID(s), latest annual and semi-annual reports and other information may be obtained from the Company. We give full consent to receiving this information and the Prospectus, latest annual and semi-annual reports and KIID(s) by means of electronic communication.¹ I/We acknowledge that I/we have been given a choice between hard copy and electronic delivery and I/we confirm that I/we have elected to receive any relevant documentation, including the KIID, electronically.
- 4 Where we are investing as nominee, we shall provide our client(s) with the KIID(s) issued in respect of the relevant Share Class and we shall ensure that we do so in compliance with Commission Regulation (EU) No. 583/2010, as amended from time to time or by any supplemental legislation and in compliance with the applicable legislation in any jurisdiction in carrying out this activity. We shall maintain records of our provision of the KIID(s) to our clients and shall furnish such records to the Company, or its delegates, upon request.
- 5 I/We hereby represent and declare that I/we am/are fully informed as to: (i) the legal requirements within my/our country for the purchase of Shares and are permitted to purchase the Shares under the laws and regulations of my/our home country in the manner in which the Shares have been offered and sold to me/us; (ii) any foreign exchange restrictions applicable to me/us; and (iii) any relevant tax considerations relating to me/us arising out of my/our purchase and ownership of Shares.

¹ i.e. communication by means of posting on a website or emailing to the address provided in the subscription form.

Iridian UCITS Fund p.l.c.

- 6 I/We have such knowledge and experience in business and financial matters that I/we am/are capable of evaluating the merits and risks of an investment by me/us in the Shares and have considered the risk factors as set out in the Prospectus.
- 7 I/We have made arrangements for payment to be made to the relevant bank account(s) specified above for subscriptions.
- 8 I/We acknowledge that this application form constitutes the agreement under which the I/we agrees to subscribe for Shares in the Company and shall be governed by the laws of Ireland. In the event of any dispute or claim arising under this agreement or the Prospectus, or relating to his Shares in the Company I/we submit to the exclusive jurisdiction of the Irish Courts.
- 9 I/We acknowledge that the Company reserves the right to reject any application in whole or part without assigning any reason therefore.
- 10 I/We acknowledge that measures aimed at the prevention of money laundering may require verification of my/our identity.
- 11 I/We also warrant and declare that the monies being invested pursuant to this application do not represent directly or indirectly the proceeds of any criminal activity and the investment is not designed to conceal such proceeds so as to avoid prosecution for an offence or otherwise.
- 12 I/We acknowledge that the Company or the Administrator also reserves the right to delay or refuse to make any redemption payment or distribution to a Shareholder without notice if any of the Directors or the Administrator suspects or is advised that the payment of any redemption or distribution moneys to such Shareholder might result in a breach or violation of any applicable anti-money laundering or other laws or regulations by any person in any relevant jurisdiction, or such refusal is considered necessary or appropriate to ensure the compliance by the Company, its Directors or the Administrator with any such laws or regulations in any relevant jurisdiction. I/We hereby hold the Company and the Administrator harmless and indemnify them against any loss arising as a result of a failure to process the application if such information has been required and has not been provided by me/us.
- 13 I/We acknowledge that the Company reserves the right to cancel without notice any contract for which payment has not been received by the relevant settlement date and to recover any losses incurred.
- 14 If any of the foregoing representations, warranties or covenants ceases to be true or if the Company no longer reasonably believes that it has satisfactory evidence as to their truth, notwithstanding any other agreement to the contrary, the Company may be obligated to freeze my/our investment, either by prohibiting additional investments, investment may immediately be redeemed by the Company, and the Company may also be required to report such action and to disclose my/our identity to OFAC or other authority. In the event that the Company is required to take any of the foregoing actions, I/we understand and agree that I/we shall have no claim against the Company, the Investment Manager, the Administrator, and their respective affiliates, directors, members, partners, shareholders, officers, employees and agents for any form of damages as a result of any of the aforementioned actions.
- 15 I/We understand and agree that any redemption proceeds paid to me/us will only be paid to a bank account in my/our name and with a recognised financial institution.
- 16 I/We agree to indemnify and hold harmless the Company, the Investment Manager, the Administrator, and their respective affiliates, directors, members, partners, shareholders, officers, employees and agents from and against any and all losses, liabilities, damages, penalties, costs, fees and expenses (including without limitation legal fees and

disbursements) which may result, directly or indirectly, from any inaccuracy in or breach of any representation, warranty, covenant or agreement set forth in this section or in any document delivered by me/us to the Company or any of them and shall notify the Company immediately if any of the representations herein made are no longer accurate and complete in all respects.

17 In the case of Applicants applying for shares on the Applicant's own behalf only:

I am/we are/the company is* not currently resident or ordinarily resident in Ireland, and should I/we/the company* become resident in Ireland I will/we will* so inform you, in writing, accordingly.

I/We have completed the relevant non-resident declarations below.

Note: For any investors who are Irish resident or ordinarily Irish resident, please contact the Administrator for the appropriate application form supplement containing necessary requirements, consistent with the Return of Values (Investment Undertakings) Regulations 2013 (S.I. 245 of 2013).

18 **Designated Bodies*** only**

I/We declare that I am/we are licensed as..... (description) by the (regulatory body) under the laws of..... (country) and am/are thereby subject to regulations and/or guidelines which to the best of my/our. knowledge and understanding are in accordance with the Financial Action Task Force Recommendations ("**FATF**") on the prevention of money-laundering. We attach independent verification of our licensed status. This application is made in my/our name on behalf of my/our clients whose identity has been properly verified by me/us in accordance with the guidelines. Evidence of such verification will be retained for such period as is required by the country of our domicile and will be promptly supplied to the Administrator or the Company upon request. We confirm that we have adopted measures to prevent and detect the commission of an offence of financing terrorism and that all employees, directors and other officers have received the appropriate level of training to ensure these measures are applied. Furthermore, should our licence or registration as noted above be revoked or altered at any future time or if the situation with regard to any of the clients introduced has changed, we undertake to advise you immediately. We further confirm we will provide the Administrator with a letter of assurance in connection with these matters in a form acceptable to the Administrator, if requested.

A Designated Body is an individual or other entity which is regulated in respect of the provision of banking or investment services in a country which is a member of the European Union or the Financial Action Task Force.

19 I/We agree* agrees that if the Company is required to comply with the rules of the U.S. Foreign Account Tax Compliance Act ("**FATCA**"), as amended, any current or future regulations or official interpretations thereof, in order to receive any payments without withholding tax, I/we* will:

- (a) provide the Company (or any nominated service provider) with any information, declarations, certificates or documentation necessary for FATCA reporting; and
- (b) permit the Company to (i) share such information with the U.S. Internal Revenue Service, the Irish Revenue or other relevant tax or other government authority as required by FATCA; (ii) compel or effect the sale of the Shares or repurchase and cancel the Shares if it fails to comply with the foregoing requirement; and (iii) make any other amendments to any other documents entered into in connection with the

Iridian UCITS Fund p.l.c.

subscription ("**Transaction Documents**") as may be necessary to enable the Company to comply with FATCA.

To the extent that any Transaction Documents do not permit the Company to take any of the actions required for it to comply with FATCA, I/we* hereby authorise the amendment of the any such Transaction Documents to provide for such action.

- 20 I/We agree to provide to the Company and the Administrator at such times as each of them may request such declarations, certificates, self-certification forms, withholding statements or documents as each of them may reasonably require (the "**Tax Information**") in connection with this investment (including for the purposes of FATCA and/or CRS² regulations and reporting requirements). Should any information furnished to any of them become inaccurate or incomplete in any way, I/we hereby agree to notify the Company or the Administrator immediately of any such change and further agree to request the redemption of Shares in respect of which such confirmations have become incomplete or inaccurate where requested to do so by the Company or the Administrator.
- 21 In addition to the Tax Information, I/we agree to promptly provide, and periodically update, at any times requested by the Company or the Administrator, any information (or verification thereof) that the Company or the Administrator deems necessary for the Company to comply with tax reporting requirements, FATCA and/or CRS (including any imposed by any inter-governmental agreement ("**IGA**") or any legislation, law, regulation or other official guidance in relation to any IGA on the implementation of FATCA or CRS). This may include such information as is required to evidence the Company's (or any Shareholder's) status for FATCA or CRS purposes.
- 22 I/we represent and warrant the completeness and accuracy of any Tax Information (as at the date of submission) and authorise the Company and/or the Administrator to act upon such information in good faith, including but not limited to, disclosing or submitting such information to the Irish or any other tax authorities. I/We agree to waive any provision of foreign law that would, absent such a waiver, prevent compliance with such requirements. The Company shall not have any obligation to carry out any investigation with respect to the truth, accuracy or completeness of any Tax Information provided by me/us and I/we will, on demand, hold the Company harmless from any liability resulting from my/our failure to provide complete and accurate Tax Information.
- 23 I/We hereby acknowledge that if I/we fail to provide any Tax Information and such failure results in the Company being unable to comply with FATCA, any IGA or CRS, the Company may exercise its right to completely redeem me/us (at any time upon any or no notice). I/We further acknowledge and agree to indemnify the Company and its Shareholders for any losses resulting from such failure, including any U.S. withholding tax imposed on the Company.
- 24 I/We declare that I/we: (i) am/are not a US Person; (ii) have not been solicited to purchase and have not or will not acquire Shares while present in the United States; (iii) am/are not purchasing Shares as a nominee of a US Person and am/are not acting on behalf of nor do I/we intend to transfer any shares to any US Person(s); (iv) am/are not acquiring Shares for the benefit of a US Person and will not at any time hold Shares, directly or indirectly, for the account or benefit of any US Person; (v) am/are not to transfer any Shares or any interest therein to a US Person and will not transfer any Shares within the United States; (vi) am/are not using funds that have been obtained from a US Person to effect the purchase of Shares;

² "CRS" means (a) the Standard for Automatic Exchange of Financial Account Information for Tax Matters published by the Organisation for Economic Co-operation and Development on 15 July 2014 (the "**Standard**") (including any commentary thereon; and (b) any treaty, law, regulation or other official guidance of any jurisdiction, or relating to a competent authority agreement (including any commentary thereon and any notification issued pursuant to a multilateral competent authority agreement) or intergovernmental agreement which (in either case) facilitates the implementation of the Standard.

Iridian UCITS Fund p.l.c.

and (vi) will immediately notify the Company if I/we should at any time become a US Person. I/We agree to substantiate the foregoing representation upon request.

- 25 The Company and the Administrator are hereby authorised to accept and execute any instructions in respect of the Shares to which this application relates or which may in future be acquired by me/us which are given by me/us in written form or by facsimile and in the case of joint account holders which are given by such means jointly by the applicants ("Instructions"). I/We hereby agree to indemnify each of the Company, and the Administrator and agree to keep each of them indemnified against any loss of any nature whatsoever arising to any of them as a result of any of them acting upon Instructions. The Company and the Administrator may rely conclusively upon and shall incur no liability in respect of any action taken upon any Instructions believed in good faith to be genuine and to be signed or given by properly authorised persons.
- 26 I/We agree to provide to the Company and the Administrator at such times as each of them may request such declarations, certificates or documents as each of them may reasonably require in connection with this investment. Should any information furnished to any of them become inaccurate or incomplete in any way, I/We hereby agree to notify the Company or the Administrator immediately of any such change and further agree to request the redemption of Shares in respect which such confirmations have become incomplete or inaccurate where requested to do so by the Company.
- 27 I/We understand that the confirmations, representations, declarations, indemnities and warranties made or given herein are continuous and apply to all subsequent purchases of Shares by me/us in the Company.
- 28 (In respect of joint applicants only) We direct that on the death of one of us the Shares for which we hereby apply to be held in the name of and to the order of the survivor or survivors of us or the executor or administrator of the last survivor. I/We acknowledge and agree that in the event of the death of one or more of us the Administrator will require further documentation in relation to any proposed change of name or authority to act in respect of the Shares for which we hereby apply including without limitation a copy of the relevant Grant of Probate or copy of a will.
- 29 I/We hereby acknowledge that any notice or document may be served by the Company on me/us in the manner specified from time to time in the Prospectus and, for the purposes of the Electronic Commerce Act 2000, if I have provided an e-mail address or fax number to the Company or its delegate, consent to any such notice or document being sent to me/us by fax or electronically to the fax number or e-mail address previously identified to the Company or its delegate which I/we acknowledge constitutes effective receipt by me/us of the relevant notice or document. I/we acknowledge that I/we are not obliged to accept electronic communication and may at any time choose to revoke my/our agreement to receive communications by fax or electronically by notifying the Company in writing at the above address provided that my/our agreement to receive communications by fax or electronically shall remain in full force and effect pending receipt by the Company of written notice of such revocation.
- 30 We confirm that the persons listed on the attached authorised signatories list and whose specimen signatures appear on that list are duly authorised to give Instructions with respect to Shares held by us in the Company (For corporate applicants only.)

31 **Data Protection**

I/We understand that my/our personal information that I/we provide in my/our interactions with the Company will be collected, stored and used by the Company and its duly authorised

delegates in accordance with the EU data protection regime introduced by the General Data Protection Regulation (Regulation 2016/679). I/We acknowledge that I am/we are advised to read in detail the Privacy Notice attached at Appendix 3. This document provides an outline of my/our data protection rights and the data protection obligations of the Company and its duly authorised delegates as they relate to my/our investment in the Company.

32 Certification of Non-US/US Status

Please complete the relevant Entity or Individual Self-Certification for FATCA and CRS (included below), as applicable.

7 Revenue Declarations

Where the Company is marketing exclusively outside Ireland:

| DECLARATION ON OWN BEHALF |
|--|
| <p>I/we* declare that I am/we are* applying for the Shares on my own/our own behalf/on behalf of a company* and that I am/we are/the company* is entitled to the Shares in respect of which this declaration is made and that</p> <ul style="list-style-type: none"> • I am/we are/the company is* not currently Resident or Ordinarily Resident in Ireland, and • should I/we/the company* become Resident in Ireland I will/we will* so inform you, in writing, accordingly. |
| DECLARATION AS INTERMEDIARY |
| <p>I/we* declare that I am/we are* applying for Shares on behalf of persons:</p> <ul style="list-style-type: none"> • who will be beneficially entitled to the Shares; and, • who, to the best of my/our* knowledge and belief, are neither Resident nor Ordinarily Resident in Ireland. <p>I/we* also declare that:</p> <ul style="list-style-type: none"> • unless I/we* specifically notify you to the contrary at the time of application, all applications for Shares made by me/us* from the date of this application will be made on behalf of such persons; and, • I/we* will inform you in writing if I/we* become aware that any person, on whose behalf I/we* holds Shares, becomes Resident in Ireland. <p><i>*Delete as appropriate</i></p> |

Name and address of applicant: _____

Signature of applicant or authorised signatory: _____ (declarant)

Print name and capacity of authorised signatory (if applicable) _____

Date _____

Iridian UCITS Fund p.l.c.

Individual & Joint applicants:

| Name | Signature | Is signer a US citizen or resident |
|------|-----------|------------------------------------|
| | | |
| | | |
| | | |

Notes to Assist in Completion

- 1 Non-Irish resident declarations are subject to inspection by the Irish Tax Authorities and it is a criminal offence to make a false declaration.
- 2 To be valid, the application form (incorporating the declaration required by the Irish Tax Authorities) must be signed by the applicant. Where there is more than one applicant, each person must sign. If the applicant is a company, it must be signed by the company secretary or another authorised officer.
- 3 If the application form (incorporating the declaration required by the Irish Tax Authorities is signed under power of attorney, a copy of the power of attorney must be furnished in support of the signature.
- 4 If the Applicant is an exempt Irish investor it should contact the Administrator who will provide it with the appropriate declaration which must be made to confirm its status.
- 5 "Intermediary" means a person who:
 - (a) carries on a business which consists of, or includes, the receipt of payments from an investment undertaking resident in Ireland on behalf of other persons, or
 - (b) hold units in an investment undertaking on behalf of other persons.
- 6 Individual

An individual will be regarded as being resident in Ireland for a tax year if s/he:

 - (a) spends 183 days or more in the State in that tax year; or
 - (b) has a combined presence of 280 days in the State, taking into account the number of days spent in the State in that tax year together with the number of days spent in the State in the preceding year

Presence in a tax year by an individual of not more than 30 days in the State will not be reckoned for the purpose of applying the two-year test. Presence in the State for a day means the personal presence of an individual at any time during the day.

7 Ordinary Residence – Individual

The term "ordinary residence" as distinct from "residence" relates to a person's normal pattern of life and denotes residence in a place with some degree of continuity.

An individual who has been resident in the State for three consecutive tax years becomes ordinarily resident with effect from the commencement of the fourth tax year.

An individual who has been ordinarily resident in the State ceases to be ordinarily resident at the end of the third consecutive tax year in which s/he is not resident. Thus, an individual who was resident and ordinarily resident in the State in 2018 and departed from the State in that tax year will remain ordinarily resident up to the end of the tax year in 2021.

8 Residence – Company

A company which has its central management and control in Ireland (the State) is resident in the State irrespective of where it is incorporated. A company which does not have its central management and control in Ireland but which is incorporated in the State is resident in the State except where:

- (a) the company or a related company carries on a trade in the State, and either the company is ultimately controlled by persons resident in EU Member States or in countries with which the State has a double taxation treaty, or the company or a related company are quoted companies on a recognised Stock Exchange in the EU or in a taxation treaty country; or
- (b) the company is regarded as not resident in the State under a double taxation treaty between the State and another country.

It should be noted that the determination of a company's residence for tax purposes can be complex in certain cases and declarants are referred to the specific legislative provisions which are contained in section 23A Taxes Consolidation Act 1997.

9 The Company is regulated in Ireland by the Central Bank of Ireland.

10 Copies of the Prospectus and the most recently published annual and semi-annual reports of the Company are freely available.

11 A corporation should affix its common seal and have it countersigned by persons authorised to countersign the seal or otherwise should execute under the hand of a duly authorised official or officials who should state his/their representative capacity. A certified copy (certified by a director or secretary of the Company) of the resolution or other authority authorising one or more signatories should be provided with the application form.

12 If any of the details provided by the applicant(s) in this application form change during the lifetime of this investment, please advise the Administrator immediately in writing and in original form, in order to avoid any possible settlement delays at some future date.

Instructions for completion

The Company is obliged pursuant to the Intergovernmental Agreement Between the Government of Ireland and the Government of the United States of America to Improve International Tax Compliance and to Implement FATCA, Section 891E, Section 891F, and Section 891G of the Taxes Consolidation Act 1997 (as amended) and regulations made pursuant to those sections to collect certain information about each investor. Please complete the sections below as directed and provide any additional information that is requested. Please note that by completing this application form you are providing personal information which may constitute personal data within the meaning of the General Data Protection Regulation (Regulation 2016/679). Please note that in certain circumstances the Company may be legally obliged to share this information, and other financial information with respect to an investor's interests in the Company with the Irish Revenue Commissioners. They in turn may exchange this information and other financial information with foreign tax authorities, including tax authorities located outside the EU.

If you have any questions about how to complete this form or defining your tax residency status, please refer to the IRS website, OECD CRS Portal or speak to your tax adviser.

For further information on FATCA or CRS please refer to the Irish Revenue website at <http://www.revenue.ie/en/business/aeoi/index.html> or the OECD website at <http://www.oecd.org/tax/automatic-exchange/> in the case of CRS only.

If any of the information below changes in the future, please ensure you advise us of these changes promptly.

Please note that where there are joint account holders each investor is required to complete a separate self-certification form.

A. Entity Self-Certification

Investors that are Individuals or Controlling Persons should not complete this form and should complete the form entitled "Individual (including Controlling Persons) Self-Certification for FATCA and CRS".

(Mandatory fields are marked with an *)

***Section 1: Account Holder Identification**

*Account Holder Name: _____ (the "Entity")

*Country of Incorporation or Organisation: _____

***Current (Resident or Registered) Address:**

Number: _____ Street: _____

City, Town, State, Province or County _____

Postal/ZIP Code: _____ Country: _____

Mailing Address (if different from above):

Number: _____ Street: _____

City, Town, State, Province or County _____

Iridian UCITS Fund p.l.c.

Postal/ZIP Code: _____ Country: _____

***Section 2: FATCA Declaration:**

Please tick either (a), (b) or (c) below and complete as appropriate.

a) The Entity is a *Specified U.S. Person* and the Entity's U.S. Federal Taxpayer Identifying number (U.S. TIN) is as follows:

U.S. TIN: _____

Or

b) The Entity is *not a Specified U.S. Person* (Please also complete Sections 3, 4 and 5)

Or

c) The Entity is a *US person but not a Specified U.S. Person* (Please also complete Sections 4 and 5)

Indicate exemption: _____

***Section 3: Entity's FATCA Classification** (the information provided in this section is for FATCA, please note your FATCA classification may differ from your CRS classification in Section 5):

3.1 Financial Institutions under FATCA:

If the Entity is a *Financial Institution*, please tick one of the below categories and provide the Entity's GIIN at 3.2 or indicate at 3.3 the reason why you are unable to provide a GIIN

| | | |
|------|--|--------------------------|
| I. | <i>Irish Financial Institution or a Partner Jurisdiction Financial Institution</i> | <input type="checkbox"/> |
| II. | <i>Registered Deemed Compliant Foreign Financial Institution</i> | <input type="checkbox"/> |
| III. | <i>Participating Foreign Financial Institution</i> | <input type="checkbox"/> |

3.2 Please provide the Entity's *Global Intermediary Identification number (GIIN)*

□□□□□□.□□□□□□.□□.□□□□

3.3 If the Entity is a *Financial Institution* but unable to provide a *GIIN*, please tick one of the below reasons:

| | | |
|----|---|--------------------------|
| I. | The Entity has not yet obtained a <i>GIIN</i> but is sponsored by another entity which does have a <i>GIIN</i> [∞] Please provide the sponsor's name and sponsor's <i>GIIN</i> : Sponsor's Name: _____ Sponsor's <i>GIIN</i>: □□□□□□.□□□□□□.□□.□□□□ [∞] NOTE: <i>this option is only available to Sponsored Investment Entities in Model 1 IGA jurisdictions. Sponsored Investment Entities that do not have U.S. reportable accounts are not required to register and obtain a GIIN with the IRS unless and until U.S. reportable accounts are identified.</i> | <input type="checkbox"/> |
|----|---|--------------------------|

| | | |
|-----|---|--------------------------|
| IV. | Direct Reporting Non-Financial Foreign Entity (NFFE) | <input type="checkbox"/> |
| | Please provide your GIIN □□□□□□.□□□□□□.□□.□□□□ | |

***Section 4: Common Reporting Standard ("CRS") Declaration of Tax Residency (please note that you may choose more than one country)**

Please indicate the Entity's country of tax residence for CRS purposes, (if resident in more than one country please detail all countries of tax residence and associated tax identification numbers ("TIN")). Please refer to the OECD CRS Web Portal for AEOI for more information on Tax Residence.

If the Entity is not tax resident in any jurisdiction (e.g., because it is fiscally transparent), please indicate that below and provide its place of effective management or country in which its principal office is located.

NOTE: Under the Irish legislation implementing the CRS, provision of a TIN is required to be provided unless:

- a) You are tax resident in a Jurisdiction that does not issue a TIN
- or
- b) You are tax resident in a non-reportable Jurisdiction (i.e. Ireland or the USA)

| Country of Tax Residency | Tax ID Number | ∞If TIN unavailable Select (A, B or C) and check box below |
|--------------------------|---------------|--|
| | | |
| | | |
| | | |

∞If a TIN is unavailable, please provide the appropriate reason A, B or C where indicated below:

Reason A – The country/jurisdiction where the Account Holder is resident does not issue TINs or TIN equivalents to its residents

Reason B – The Account Holder is otherwise unable to obtain a TIN (*Please explain why you are unable to obtain a TIN if you selected Reason B*)

Reason C – No TIN is required (Note: Only select this reason if the domestic law of the relevant country/jurisdiction does not require the collection of the TIN issued by such country/jurisdiction)

***Section 5: Entity's CRS Classification**

(The information provided in this section is for CRS. Please note an Entity's CRS classification may differ from its FATCA classification in Section 3 above). In addition, please note that the information that the Entity has to provide may differ depending on whether they are resident in a participating or non-participating CRS Jurisdiction. For more information please see the OECD CRS Standard and associated commentary.

<http://www.oecd.org/tax/automatic-exchange/common-reporting-standard/common-reporting-standard-and-related-commentaries/#d.en.345314>

5.1 Financial Institutions under CRS:

If the Entity is a *Financial Institution*, **Resident in either a Participating or Non-Participating CRS Jurisdiction** please review and tick one of the below categories that applies and specify the type of Financial Institution below.

Note: Please check the Irish Revenue AEOI portal at the time of completion of this form to confirm whether your country of Tax Jurisdiction is considered Participating or Non-Participating for the purposes of CRS Due-Diligence in Ireland.
<http://www.revenue.ie/en/business/aeoi/participating-jurisdictions.pdf>

| | | |
|------|---|--------------------------|
| I. | A Reporting Financial Institution resident in a participating CRS jurisdiction | <input type="checkbox"/> |
| II. | A Financial Institution Resident in a Non-Participating Jurisdiction (Please also tick the box that applies) <input type="checkbox"/> An Investment Entity resident in a Non-Participating Jurisdiction and managed by another Financial Institution (If this box is ticked, please indicate the name of any Controlling Person(s) of the Entity in section 6 below and complete a separate individual self-certification forms for each of your Controlling Persons") <input type="checkbox"/> An Investment Entity resident in a Non-Participating Jurisdiction that is not managed by another Financial Institution <input type="checkbox"/> Other Financial Institution , including a Depository Financial Institution, Custodial Institution or Specified Insurance Company | <input type="checkbox"/> |
| III. | Non-Reporting Financial Institution under CRS. Specify the type of Non-Reporting Financial Institution below: <input type="checkbox"/> Governmental Entity International Organization Central Bank <input type="checkbox"/> Broad Participation Retirement Fund <input type="checkbox"/> Narrow Participation Retirement Fund <input type="checkbox"/> Pension Fund of a Governmental Entity, International Organization, or Central Bank <input type="checkbox"/> Exempt Collective Investment Vehicle <input type="checkbox"/> Trust whose trustee reports all required information with respect to all CRS Reportable Accounts <input type="checkbox"/> Qualified Credit Card Issuer <input type="checkbox"/> Other Entity defined under the domestic law as low risk of being used to evade tax. Specify the type provided in the domestic law: <hr/> | <input type="checkbox"/> |

5.2 Non Financial Institution (Non-Financial Foreign Entity/NFE) under CRS:

If the Entity is a not defined as a Financial Institution under CRS then, please tick one of the below categories confirming if you are an Active or Passive NFE.

| | | |
|----|--|--------------------------|
| I. | Active Non-Financial Entity (NFE) – a corporation the stock of which is regularly traded on an established securities market. Please provide the name if the established securities market on which the corporation is regularly traded: _____ | <input type="checkbox"/> |
|----|--|--------------------------|

Iridian UCITS Fund p.l.c.

| | | |
|------|--|--------------------------|
| II. | Active Non-Financial Entity (NFE) – if you are a Related Entity of a regularly traded corporation. Please provide the name of the regularly traded corporation that the Entity is a Related Entity of: _____ Please provide details of the securities market that the entity is listed on : _____ | <input type="checkbox"/> |
| III. | Active Non-Financial Entity (NFE) – a Government Entity or Central Bank | <input type="checkbox"/> |
| IV. | Active Non-Financial Entity (NFE) – an International Organisation | <input type="checkbox"/> |
| V. | Active Non-Financial Entity (NFE) – other than those listed in I, II, III or IV above. (for example a start-up NFE or a non-profit NFE) | |
| VI. | Passive Non-Financial Entity (NFE) - (if this box is ticked, please also complete Section 6.1 below and indicate the name of all natural Controlling Person(s) of the Entity and complete a separate Individual Self-Certification Form for each of your Controlling Person(s) | |

***Section 6: Controlling Persons**

N.B.: Please note that each Controlling Person must complete a Separate Individual Self-Certification form. If there are no natural person(s) who exercise control of the Entity then the Controlling Person will be the natural person(s) who hold the position of senior managing official of the Entity.

For further information on Identification requirements under CRS for Controlling Persons, see the Commentary to Section VIII of the CRS Standard. <http://www.oecd.org/tax/automatic-exchange/common-reporting-standard/common-reporting-standard-and-related-commentaries/#d.en.345314>

Name of All Controlling Person(s) of the Account Holder:

If you have ticked sections 5.1 VI above, then please complete section 6.1 and 6.2 below:

6.1 Indicate the name of all Controlling Person(s) of the Account Holder:

| | |
|------|--|
| I. | |
| II. | |
| III. | |

Note: In case of a trust, Controlling Persons means the settlor(s), the trustee(s), the protector(s) (if any), the beneficiary (ies) or class(es) of beneficiary(ies), **AND** any other natural person(s) exercising ultimate effective control over the trust. With respect to an Entity that is a legal person, if there are no natural person(s) who exercise control over the Entity, then the Controlling Person will be the natural person who holds the position of senior managing official of the Entity.

6.2 Complete a separate Individual (Controlling Person's) Self-Certification for FATCA and CRS for each Controlling Person listed in Section 6.1.

***Section 7: Declarations and Undertakings**

I/We declare (as an authorised signatory of the Entity) that the information provided in this form is, to the best of my/our knowledge and belief, accurate and complete.

I acknowledge that the information contained in this form and information regarding the Account Holder may be reported to the tax authorities of the country in which this account(s) is/are maintained and exchanged with tax authorities of another country or countries in which the Account Holder may be tax resident where those countries (or tax authorities in those countries) have entered into Agreements to exchange financial account information and hereby consent to such maintenance and / or exchange.

I/We on behalf of the Entity undertake to advise the recipient promptly and provide an updated Self-Certification form within 30 days where any change in circumstance occurs which causes any of the information contained in this form to be incorrect.

*Authorised Signature(s):

*Print Name(s):

*Capacity in which declaration is made:

*Date: (dd/mm/yyyy): _____

B Individual Self-Certification

Please note that where there are joint account holders each investor is required to complete a separate Self-Certification form.

Sections 1, 2, 3 and 5 must be completed by all Account holders or Controlling Persons.

Section 4 should only be completed by any individual who is a Controlling Person of an entity account holder which is a Passive Non-Financial Entity, or a Controlling Person of an Investment Entity located in a Non-Participating Jurisdiction and managed by another Financial Institution.

For further guidance see: <http://www.oecd.org/tax/automatic-exchange/common-reporting-standard/common-reporting-standard-and-related-commentaries/#d.en.345314>

(Mandatory fields are marked with an *)

***Section 1: Account Holder Identification**

*Account Holder/Controlling Person Name: _____

*Current Residential Address:

Number: _____ Street: _____

City, Town, State, Province or County _____

Iridian UCITS Fund p.l.c.

Postal/ZIP Code: _____ Country: _____

Mailing address (if different from above):

Number: _____ Street: _____

City, Town, State, Province or County _____

Postal/ZIP Code: _____ Country: _____

***Pace and Date of Birth**

*Town of City of Birth: _____ *Country of Birth: _____

*Date of Birth: _____

***Section 2: FATCA Declaration of U.S. Citizenship or U.S. Residence for Tax purposes:**

Please tick either (a) or (b) and complete as appropriate.

(a) I confirm that **I am** a U.S. citizen and/or resident in the U.S. for tax purposes and my U.S. federal taxpayer identifying number (U.S. TIN) is as follows:

(b) I confirm that **I am not** a U.S. citizen or resident in the U.S. for tax purposes.

***Section 3: Common Reporting Standard (CRS) Declaration of Tax Residency/Residencies (please confirm all Tax Residencies)**

Please indicate your country of tax residence (if resident in more than one country please detail all countries of tax residence and associated tax identification numbers ("TIN")). For further guidance please refer to the OECD CRS Information Portal (<http://www.oecd.org/tax/automatic-exchange/>).

NOTE: Under the Irish legislation implementing the CRS, provision of a TIN is required to be provided unless:

a) You are tax resident in a Jurisdiction that does not issue a TIN

or

b) You are tax resident in a non-reportable Jurisdiction (i.e. Ireland or the USA)

| Country of Tax Residency | Tax ID Number | ∞If TIN unavailable Select (A, B or C) and check box below |
|--------------------------|---------------|--|
| | | |
| | | |
| | | |

∞If a TIN is unavailable, please provide the appropriate reason A, B or C where indicated below:

Reason A – The country/jurisdiction where the Account Holder is resident does not issue TINs or TIN equivalents to its residents

Iridian UCITS Fund p.l.c.

Reason B – No TIN is required. (Note Only select this reason if the domestic law of the relevant country/jurisdiction does not require the collection of the TIN issued by such country/jurisdiction)

Reason C – The Account Holder is otherwise unable to obtain a TIN (*Please explain why you are unable to obtain a TIN if you selected Reason C*)

Section 4 – Type of Controlling Person

(**ONLY** to be completed by an individual who is a Controlling Person of an entity which is a Passive Non- Financial Entity or an Investment Entity located in a Non-Participating Jurisdiction and managed by another Financial Institution)

For Joint or multiple Controlling Persons please use a separate Self-Certification form for **each** Controlling Person.

| Please Confirm the type of Controlling Person applicable under CRS that applies to you/the Account holder by ticking the appropriate box | Please Tick | Entity Name |
|---|--------------------|--------------------|
| Controlling Person of a legal person – control by ownership | | |
| Controlling Person of a legal person – control by other means | | |
| Controlling Person of a legal person – senior managing official | | |
| Controlling Person of a trust – settlor | | |
| Controlling Person of a trust – trustee | | |
| Controlling Person of a trust – protector | | |
| Controlling Person of a trust – beneficiary | | |
| Controlling Person of a trust – other | | |
| Controlling Person of a legal arrangement (non-trust) – settlor-equivalent | | |
| Controlling Person of a legal arrangement (non-trust) – trustee-equivalent | | |
| Controlling Person of a legal arrangement (non-trust) – protector-equivalent | | |
| Controlling Person of a legal arrangement (non-trust) – beneficiary-equivalent | | |
| Controlling Person of a legal arrangement (non-trust) – other-equivalent | | |

***Section 5: Declaration and Undertakings:**

I declare that the information provided in this form is, to the best of my knowledge and belief, accurate and complete.

I acknowledge that the information contained in this form and information regarding the Account Holder may be reported to the tax authorities of the country in which this account(s) is/are maintained and exchanged with tax authorities of another country or countries in which the Account Holder may be tax resident where those countries (or tax authorities in those countries) have entered into Agreements to exchange financial account information and hereby consent to such maintenance and / or exchange.

I undertake to advise the recipient promptly and provide an updated Self-Certification form within 30 days where any change in circumstances occurs which causes any of the information contained in this form to be incorrect.

Data Protection – Customer Information Notice:

The Common Reporting Standard (CRS), formally referred to as the Standard for Automatic Exchange of Financial Account Information, is an information standard for the automatic exchange of information (AEOI), developed in the context of the Organisation for Economic Co-operation and Development (OECD).

The standard requires that Financial Institutions in participating jurisdictions gather certain information from account holders (and, in particular situations, also collect information in relation to relevant Controlling Persons of such account holders).

Under CRS account holder information (and, in particular situations, information in relation to relevant Controlling Persons of such account holders) is to be reported to the relevant tax authority where the account is held, which, if a different country to that in which the account holder resides, will be shared with the relevant tax authority of the account holder's resident country, if that is a CRS-participating jurisdiction.

Information that may be reported includes name, address, date of birth, place of birth, account balance, any payments including redemption and dividend/interest payments, Tax Residency(ies) and TIN(s).

Further information is available on the OECD website: <http://oecd.org/tax/automatic-exchange/> and on the Irish Revenue website - <http://www.revenue.ie/en/business/aeoi/>

*Authorised Signature: _____

*Print Name: _____

*Date: (dd/mm/yyyy): _____

*Capacity (if Controlling Person): _____

Appendix 1

| Account Maintenance Form | | | |
|--|-------|--|--|
| This form is not appropriate for a change of Tax ID# | | | |
| In order to update our records, please complete the Account Maintenance Form for your Fund accounts. If you have any questions, please call +3531 638 2440 between the hours of 9:00 a.m. and 5:30 p.m. (GMT), Monday to Friday. | | | |
| Please fax completed document to +3531 477 4768 or email to taDublin@seic.com | | | |
| Fund Name: | | | |
| Account Number: | | | |
| Account Name: | | | |
| Social Security or Tax Identification Number: | | | |
| | | | |
| NEW CONTACT INFORMATION | | | |
| Number and Street | | | |
| | | | |
| City | State | Zip Code | |
| Telephone (#1) | | Telephone (#2) | |
| Fax Number (#1) | | Fax Number (#2) | |
| Email (#1) | | Email (#2) | |
| | | | |
| ADD/DELETE INTERESTED PARTY | | Add <input type="checkbox"/> | |
| Name | | Delete <input type="checkbox"/> | |
| Number and Street | | | |
| | | | |
| City | State | Zip Code | |
| | | | |
| Telephone (#1) | | Telephone (#2) | |
| Fax Number (#1) | | Fax Number (#2) | |
| Email (#1) | | Email (#2) | |
| | | | |
| ADDITIONAL CONTACT INFO: | | | |
| | | | |
| | | | |
| | | | |

INVESTOR SIGNATURE: _____ JOINT INVESTOR SIGNATURE: _____

PRINT NAME: _____ PRINT NAME: _____

DATE: _____ DATE: _____

**Note that this must be signed by current authorised signatories on the account.

Iridian UCITS Fund p.l.c.

Appendix 2

| Investor Banking Detail Maintenance Form | |
|---|---|
| <p>In order to update our records, please complete this Form for applicable information related to your investor account(s). Please note that the beneficiary banking detail must correspond to the registered account name – no third payment will be processed. Please ensure reason for change to wire detail is completed</p> <p>If you have any questions, please call +353 1 638 2440 between 9:00 a.m. and 5:30 p.m. (GMT), Monday to Friday.</p> <p>Please <u>post / courier</u> the completed form to the following address noting the fund name in which your investor account is invested:</p> <p><Insert fund name>, c/o SEI Investments- Global Fund Services, Investor Services Department, Styne House, Upper Hatch Street, Dublin 2, Ireland.</p> | |
| Fund Name: | |
| Account ID: | |
| Account Name: | |
| | |
| | Receiving Account Details (1st recipient account number and name) * may not be applicable |
| Account Number : | |
| Account Name : | |
| | Receiving Bank Detail (1st bank to receive the payment) * may not be applicable |
| Bank Name: | |
| Bank Address: | |
| Bank ABA / SWIFT: | |
| | Final Beneficiary Account Details / FFC (Final recipient account number and name) |
| Account number: | |
| Account name: | |
| IBAN (if applicable) : | |
| | Final Beneficiary Bank (Final bank to receive payment) |
| Bank Name: | |
| Bank Address: | |
| Bank ABA / SWIFT: | |
| | Any Additional Information |
| | |
| Reason for change to wire detail | |

INVESTOR SIGNATURE: _____ JOINT INVESTOR SIGNATURE: _____

PRINT NAME: _____ PRINT NAME: _____

DATE: _____ DATE: _____

**Note that this must be signed by current authorised signatories on the account.

Appendix 3

Privacy Notice

Introduction

The purpose of this document is to provide you with information on our use of your personal data in accordance with the EU data protection regime introduced by the General Data Protection Regulation (Regulation 2016/679) (the "**Data Protection Legislation**").

In this document, "we", "us" and "our" refer to the Company and its affiliates and delegates.

Who this affects

If you are an individual investor (or prospective investor in relation to our marketing of the Company), this will affect you directly. If you are an institutional investor (or prospective investor in relation to our marketing of the Company) that provides us with personal data on individuals connected to you for any reason in relation to your investment with us, this will be relevant for those individuals and you should transmit this document to such individuals or otherwise advise them of its content.

Your personal data

By virtue of our marketing the Company, by virtue of making an investment in the Company and your associated interactions with us (including the initial application, and including the recording of electronic communications or phone calls where applicable) or by virtue of you otherwise providing us with personal information on individuals connected with you as an investor (for example directors, trustees, employees, representatives, shareholders, investors, clients, beneficial owners or agents), you will provide us with certain personal information which constitutes personal data within the meaning of the Data Protection Legislation. We may also obtain personal data on you from other public sources.

This includes the following information relating to you and/or any individuals connected with you as an investor: name, residential address, email address, contact details, corporate contact information, signature, nationality, place of birth, date of birth, tax identification, credit history, correspondence records, passport number, bank account details, source of funds details, information about your financial circumstances such as net assets and the size of your investment portfolio, and details relating to your investment activity.

How we may use your personal data

The Company, as the data controller, may collect, store and use your personal data for lawful purposes disclosed below:

- (i) to reflect your ownership of shares in the Company (i.e. where this is necessary for the performance of the contract to purchase shares in the Company or to process redemption, conversion, transfer and additional subscription requests or the payment of distributions);
- (ii) to discharge our anti-money laundering obligation to verify the identity of our customers (and, if applicable their beneficial owners) or to confirm that a customer meets any applicable regulatory criteria or for prevention of fraud or for regulatory or tax reporting purposes or in response to legal requests or requests from regulatory authorities (i.e. where this is necessary for compliance with a legal obligation to which we are subject); and/or
- (iii) for direct marketing purposes (that is, us providing you with information on products and services) or for quality control, business and statistical analysis or for tracking fees and costs or for customer service, training and related purposes (i.e. where this is necessary for the purposes of the legitimate interests of us or a third party and such legitimate interests are not overridden by your interests, fundamental rights or freedoms and provided that we are acting in a fair, transparent and accountable manner and have taken appropriate steps to prevent such activity having any unwarranted impact on you and also noting your right to object to such uses, as discussed below).

SEI Investments – Global Fund Services Limited (the "**Administrator**") may use your personal data where this is necessary for compliance with a legal obligation to which it is directly subject (i.e. to comply with applicable law in the area of anti-money laundering and counter terrorist financing or where mandated by a court order or regulatory sanction). The Administrator, in respect of this specific use of personal data, acts as a data controller.

SEI Investments Depository and Custodial Services (Ireland) Limited, (the "**Depository**") may use your personal data where this is

necessary for compliance with its legal obligation to which it is directly subject (i.e. in order to complete cash monitoring and oversight services). The Depositary, in respect of this specific use of personal data, acts as a data controller.

Should we wish to use your personal data for other specific purposes (including, if applicable, any purpose that requires your consent), we will contact you.

Why we may transmit your personal data

In certain circumstances we and/or our authorised delegates may be legally obliged to share your data and other financial information with respect to your interest in the Company with the Irish Revenue Commissioners and they, in turn, may exchange this information with foreign tax authorities including tax authorities located outside the European Economic Area (the "EEA").

We anticipate that the following affiliates and delegates will process your personal data on our behalf and this may include certain entities located outside the EEA:

- the Administrator;
- the Company's investment manager and distributor, Iridian Asset Management LLC;

and each of their respective affiliates and delegates.

The data protection measures we take

Any transfer of personal data by us or any of our duly authorised delegates outside the EEA shall be subject to appropriate safeguards being in place in accordance with the conditions in the Data Protection Legislation.

We and our duly authorised delegates shall apply appropriate information security measures designed to protect data in our/our delegates' possession from unauthorised access by third parties or any form of computer corruption.

We shall notify you of any personal data breach affecting you that is likely to result in a high risk to your rights and freedoms.

Your data protection rights

You have certain rights regarding our use of your personal data summarised as follows:

- the right to access your data (in an easily readable form);
- the right to examine and correct your data;
- the right to data portability;
- the right to restrict the use of your data;
- the right to withdraw any consent given to the processing of your data (where applicable);
- the right to receive information regarding any entities we disclose your data to; and
- the right to lodge a complaint with the Data Protection Commission (the lead supervisory authority for data protection in Ireland).

You also have the right to object to the processing of your data where we have considered this to be necessary for the purposes of our legitimate interests.

Please note that the right for your data to be erased (the "right to be forgotten") that applies in some contexts is not likely to be applicable to most, if not all, of the personal data we hold, given the specific nature of the purposes for which we use the data, as described above.

Our retention of your personal data

We, or our duly authorised affiliates and delegates, may retain your personal data for a period of up to seven years following your disinvestment from the Company or the point where your business relationship with us has ceased. Thereafter, we, and our duly authorised affiliates and delegates, will refrain from collecting any further personal data on you and shall take appropriate steps to dispose of any records containing your personal data, to the extent this is operationally feasible and proportionate.

Getting in touch

As we do not process personal data on a large scale, we are not required to designate a data protection officer. However, should you have any queries or wish to discuss your data protection rights with us, please contact gdprcompliance@iridian.com.